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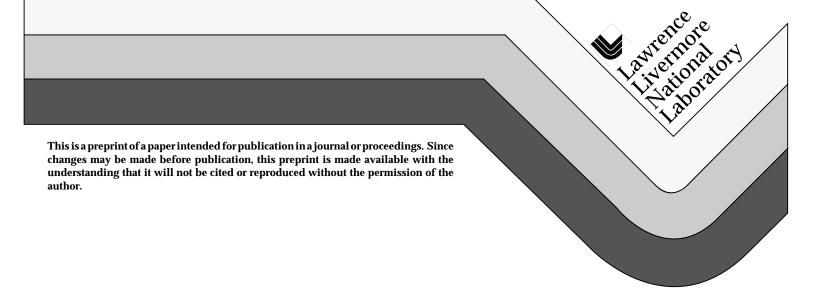
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Parallel Data Transfer Using MPI-IO*

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Abstract

This paper describes a new implementation of the proposed MPI-IO [2] standard for parallel I/O. Our system uses third-party transfer to move data over an external network between the processors where it is used and the I/O devices where it resides. Data travels directly from source to destination, without the need for shuffling it among processors or funneling it through a central node. Our distributed server model lets multiple compute nodes share the burden of coordinating data transfers.

The system is built on the High Performance Storage System (HPSS) [3, 12, 14], and it runs on a Meiko CS-2 parallel computer, where it is currently undergoing integration and performance testing.

1 Introduction

The Scalable I/O Facility (SIOF) project¹ is an effort to develop an I/O system for parallel computers that offers both high aggregate bandwidth and the ability to manage very large files [9]. To meet these needs, the SIOF project is developing a hardware infrastructure that will connect the processors in a parallel computer to multiple storage devices through a Fibre Channel network [4]. The project is also developing an application programming interface (API) that will give large scientific codes flexible, efficient access to the I/O system without forcing programmers to manage low-level details. This paper describes the implementation of the SIOF API software.

1.1 Background

Parallel programs use a number of strategies to manage large data sets. Most parallel computers offer a global file system that all the processors can access. Data typically travels over the internal communication network between the compute nodes and one or more I/O nodes, which manage a set of storage devices. This arrangement gives all the nodes access to all the files, but I/O traffic must compete with regular message traffic for access to the communication network, and depending on the configuration of the system, the I/O nodes may become a bottleneck to data transfer. Some parallel machines have local disks attached to

¹ SIOF should not be confused with a different project that has a similar name, the Scalable I/O Initiative [10].

each compute node, and programs may write separate files from each node to its local disk. This approach offers high aggregate bandwidth, since nodes transfer data through separate, dedicated channels. However, merging the data in these separate files or reading the files on a different set of nodes can be inconvenient.

The SIOF architecture uses a separate I/O network to connect each compute node to the storage devices. This allows I/O to proceed in parallel at a high aggregate bandwidth. It also lets a program treat data distributed over multiple devices as a single logical file. The system supports third-party transfers, so one compute node can orchestrate data transfers between several disks and processors. SIOF uses the High Performance Storage System (HPSS) [3, 12, 14] to manage distributed files and third-party transfers. HPSS is a joint development project of IBM and several U.S. national laboratories and supercomputer centers. Since the HPSS API is designed mainly for shared-memory systems, and since it requires programmers to specify many details in a parallel transfer, the SIOF project is developing a separate API for message-passing systems. This API is based on the proposed MPI-IO standard [2], which in turn is based on the popular MPI (Message-Passing Interface) standard [5]. Our initial implementation of the SIOF hardware and software runs on a Meiko CS-2 parallel computer.

1.2 The SIOF application programming interface

Several MPI-IO development efforts are now underway; however because the architecture of our underlying I/O system is unique, the SIOF implementation has several noteworthy features:

- Control of access to a given open file is centralized, but data transfer is distributed and direct.
- A distributed server model spreads the burden of controlling different open files among different compute
 nodes.
- When multiple processes participate in collective read or write operations, the system can determine
 dynamically how to group these requests for high throughput based on the transfer size, the distribution
 of data among the compute nodes and I/O devices, and other parameters.

The next two sections give the background to SIOF, HPSS, and the MPI-IO standard. Section 4 examines the architecture of our MPI-IO implementation, and Section 5 describes how we manage collective I/O

requests. Section 6 reports the current status of the project and our future plans for it. We conclude in Section 7 with a summary of the SIOF API.

2 The Scalable I/O Facility and HPSS

The SIOF project goal is to provide a "network-centered, scalable storage system that supports parallel I/O" [9]. To this end, SIOF is collaborating with HPSS developers to extend the HPSS environment in two areas. First, it is introducing Fibre Channel (FC) interconnectivity in order to support network-attached peripherals [15]. Second, it is providing an MPI-IO interface to the HPSS client API, as described in the sections that follow.

The SIOF implementation uses a crosspoint-switched FC fabric to connect the processors of a Meiko CS-2 directly to disk arrays, parallel tapes, and frame buffers. Each compute node is capable of independent I/O across the FC fabric so that all nodes may perform I/O in parallel. The SIOF API orchestrates coordinated accesses across the processors in a distributed computation, with each processor working on a part of a file. The envisioned architecture is shown in Figure 1.

The SIOF extensions rely on HPSS to achieve this implementation. HPSS is a standards-based, modular, hierarchical storage system that supports scalability in a variety of parallel environments. HPSS consists of multiple layers of interfaces that provide secure parallel access to the files of a storage system. The interfaces are implemented using DCE (Distributed Computing Environment) [7] and Transarc's Encina [13] transaction-based remote procedure calls.

The higher-level interfaces implement the administration (e.g., naming) and security (e.g., access control) of the storage system, while the lower-level interfaces implement the mechanics of the parallel data transfers required by file access commands. The interfaces of particular interest to the SIOF are the data movers (see Figure 3).

For any given data transfer there is a mover on the application or client side, and a corresponding mover on the HPSS side. These two movers determine the details of a given data transfer from a data structure called an IOD (I/O descriptor). Within this descriptor, a data transfer consists of a mapping from the source

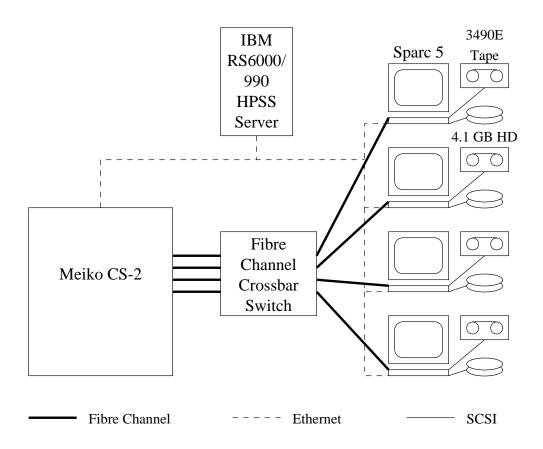
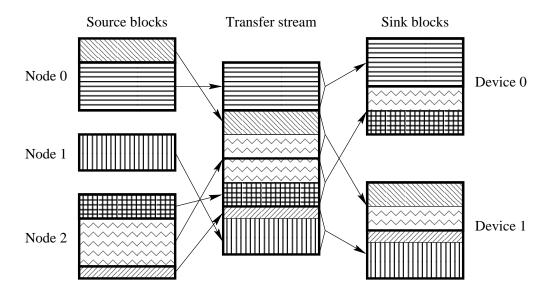


Figure 1: The Scalable I/O Facility architecture consists of a Meiko CS-2, an IBM RS6000 HPSS server, and a collection of tape drives and disk arrays. A Fibre Channel network connects the storage devices to the Meiko compute nodes.



Simple transfer



Distributed transfer

Figure 2: In a simple transfer, data moves from a source into a transfer stream and then to a sink with no reordering. In a distributed transfer, HPSS can move blocks of any size from source to sink in any order.

of the transfer into a data transfer stream, and a corresponding mapping from the transfer stream into the destination or sink of the transfer.

In the simplest case, both the source and sink of the transfer are one contiguous block of bytes. But with distributed files and distributed applications, blocks may be discontiguous at either the source or the destination. The descriptive flexibility of the HPSS IOD allows a single transfer to consist of bytes striped across multiple nodes, multiple storage devices, or both. In each IOD, the transfer stream mappings from the source and to the sink are each represented by a list of one or more descriptors, where each entry on the list describes a contiguous block of bytes.

In the simple case, the HPSS client API initiates data transfers through Unix-like read and write commands. These interfaces can be used for contiguous transfers, and HPSS will construct an IOD for the transfer automatically. More complex transfers require a client application to construct an appropriate IOD with the necessary mappings and to deliver this IOD to HPSS through readlist and writelist commands.

Application programs using the SIOF API (rather than the HPSS API) don't create IODs directly. Instead, the SIOF API code converts the MPI datatype (see Section 3) specified in **open**, **read**, and **write** operations to IODs. The motivation for hiding the details of the IOD construction is two-fold: the client application can use the simpler MPI-like interface to describe the transfer, and the new interface layer introduces the possibility of optimizing transfers.

The HPSS architecture is also designed to allow for potential optimizations as a data transfer request is processed. The higher-level servers rewrite the client IOD and dispense one or more IODs to HPSS movers to complete a given transfer. In the process, HPSS uses its knowledge of how the file being accessed is distributed across storage devices, and which HPSS movers control those devices, to break the client IOD into component IODs to be distributed to the HPSS movers. Although no reordering or combining of client IODs is presently done on the HPSS side, the design of HPSS allows this in the future.

Note that although there is one logical mover for the client, and another for HPSS for each transfer, there may in fact be multiple movers active on both sides: one per participating node on the client side, and one per device on the HPSS side. These movers are threads that are spawned when a transfer begins, and they terminate when the transfer is complete.

As shown above, the architecture of HPSS allows full generality of how source blocks are mapped into a data transfer and thence into sink blocks, but it is expected that the best performance will always be achieved when source and sink blocks match in size and number exactly. This will reduce contention (e.g., where more than one node is attempting to access the same device) and allow maximum parallelization of the transfer.

3 MPI-IO

In choosing an interface for SIOF that would work well in message-passing programs, we examined several parallel file systems. We selected MPI-IO because it offers a good range of parallel I/O features and because it appears to have a good chance of becoming a widely-implemented standard.

MPI-IO is a proposed extension to the widely accepted MPI standard to address the problems of parallel file I/O. The main advantages of a standard parallel I/O interface are portability and ease of use. Within an MPI message-passing application, the MPI-IO interface provides a standard for describing parallel I/O operations that are flexible, portable, and efficient. MPI-IO supports both independent and collective file I/O operations by processes in a parallel application. MPI-IO is a high-level interface that can be used to describe the partitioning of file data among processes and complete transfers of global data structures between process memories and files.

Like most parallel I/O libraries, MPI-IO supports collective requests, where multiple processes participate in an operation such as reading or writing a file. In many cases, an I/O system can gather collective requests from multiple nodes into a single I/O request. It can often complete this joint request more efficiently than a group of independent requests. In some implementations of parallel I/O systems, collective requests may perform an implicit barrier synchronization on the participating nodes. The synchronization allows a server to collect data from all the nodes participating in the operation before completing the operation. However, the MPI-IO standard does not require synchronization and warns users not to depend on it.

In a parallel environment, multiple processes can access a file simultaneously. Parallel processes often make interleaved accesses, and they may also access separate portions of the file. Some parallel file systems have an interface that is based on the POSIX [8] standard for file I/O, but this interface is designed for an environment where files are not shared by multiple processes at once (with the exception of pipes and their restricted access possibilities) [11]. Furthermore, POSIX file operations do not allow access to multiple discontiguous parts of the file in a single operation.

MPI uses user-defined and built-in datatypes to describe how data is laid out in a memory buffer. In MPI-IO, datatypes used in this way are called buffer types. MPI-IO also uses MPI datatypes to describe

the partitioning of file data among processes. A file type describes a data pattern that can be repeated throughout the file or part of the file. A process can only access the file data that matches items in its file type. Data in areas not described by a process' file type (holes) can be accessed by other processes that use complementary file types.

MPI associates a datatype with each message. The length of the message is an integral number of occurrences of the datatype. This method of defining a message is more portable than specifying the message length in bytes. Similarly, MPI-IO defines a third datatype called an elementary type or etype. Both the buffer type and the file type contain an integral number of etypes. This allows offsets into a file to be expressed in etypes rather than bytes. Using MPI datatypes has the advantage of added flexibility and expressiveness, as well as portability.

4 SIOF API architecture

Having chosen MPI-IO as our application programming interface, we designed our implementation with several goals in mind:

- Make efficient use of I/O resources, including the storage devices, the external network, the processing nodes, and the HPSS system.
- Avoid creating bottlenecks that would limit the scalability of the I/O system.
- Minimize barrier synchronizations among the processes of the application, since these can slow down operation and present opportunities for deadlock.

This section describes how we designed the SIOF API to achieve these goals.

We consider an application to have one process per node, and in this description we assume that all of the application code executes in one thread per process. The SIOF API spawns several additional threads in each process that share its address space.

The thread executing the application is called the *client thread*, and each process spawns a *server thread* when the API is initialized. The client thread includes code that implements the interfaces of the MPI-IO

functions. The server thread executes the code that issues requests to HPSS.

One server thread manages a given open file on behalf of all the nodes, and servers on different processors can manage different open files. This prevents any single node from becoming a bottleneck or bearing the burden of managing all the open files. We call this aspect of the architecture the distributed server model. Conceptually, the server and client threads could be separate processes, since they share no data structures. However MPI cannot at present direct messages to different processes on the same node, so using MPI for communication requires the server and client to reside in the same process.

Any time a client thread needs to operate on a file, it sends a request via MPI to the server thread on the appropriate node. Each server maintains a table of the open files it manages. When a request arrives, the server looks up the HPSS file descriptor and other information about the file and then spawns a driver thread to issue the HPSS request. When this request is complete, the driver thread sends a response message to the client and then terminates. The client thread receives the message and the original MPI-IO call returns a result to the application program.

4.1 Opening and closing a file

Opening a file in MPI-IO is always a collective operation, which means that all the nodes in the program (or a specific subset of them) participate. The nodes select a server by hashing the file name and other parameters to the **open** call to produce a node number. Since all the nodes must specify the same parameters to the call, they will all select the same node without needing to communicate with each other. The server's node number is stored in a local file table on each node for use in future requests.

Each node sends a request to the server as soon as it is ready; there is no barrier synchronization upon opening a file. When the server receives the first **open** request for a given file, it creates an entry in the file table and spawns a driver thread to call HPSS. Subsequent requests from other nodes to open the same file will find a matching request in the file table. If the HPSS **open** call has already completed, the server will send a reply containing the data from the completed (or possibly failed) call. If the HPSS call is still pending, the new request will be placed in a queue. As soon as the driver thread completes the HPSS call, it will send responses to the nodes with queued requests. This arrangement guarantees that each **open** request

generates exactly one call to HPSS, and requests from other nodes to open the same file share the results of this call.

Closing a file is also a collective operation, and the nodes again send individual requests to the server. This time, however, the server delays spawning a thread to issue the HPSS close call until all the requests have arrived. Therefore, closing a file is a synchronizing operation. This is necessary because the file cannot be closed until all the nodes are finished with it, and any errors that occur when HPSS closes the file must be reported to all participating nodes. Moreover, if the close operation does not synchronize, a node might treat a file as if it were closed and its buffers flushed when the file is in fact still open and handling requests from other nodes.

4.2 Reading and writing

Programs can read and write files collectively or independently, and they can intermix these operations freely on the same file (provided that all nodes that open a file participate in the collective operations). Figure 3 shows how these operations work.

For an independent read or write operation, the client first spawns a mover thread that will copy data between the memory buffer and the network channel to the storage device. When this thread has started, the client sends a read or write request to the server. The request includes the information that the server will need to construct an HPSS IOD (see Section 2). The server spawns a driver thread to issue the HPSS readlist or writelist call. HPSS transfers the data directly between the node and the storage device and then returns from the readlist or writelist call. Part of the return data is a structure called an IOR (I/O reply), which the driver thread sends back to the mover before terminating. The mover compares the IOR to its own record of the transfer, then returns status information to the client thread and terminates. The SIOF API code in the client thread transforms the status information into MPI-IO return data before finally returning from the MPI-IO call.

Collective operations require a few extra steps. The details appear in Section 5, but the main difference from independent operations is that the server may gather up several requests from different nodes and issue them together in a single HPSS call.

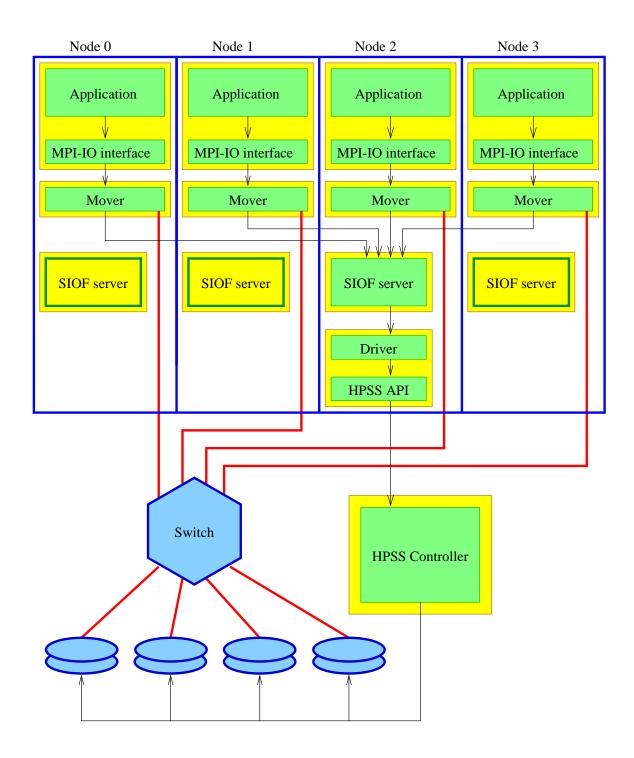


Figure 3: The SIOF API is implemented in several threads on each node (shown here as four large, vertical rectangles). Outer shaded boxes represent threads; inner boxes are functional modules within a thread. Outlined boxes show modules not participating in an operation. For read and write operations, control is centralized at a server thread, but data travels through separate, high-bandwidth channels between storage devices and compute nodes.

4.3 File types and buffer types

Section 3 noted that MPI-IO programs can use file types and buffer types to access discontiguous regions of data. MPI-IO translates these datatypes into an internal format called a *chunk map*. A chunk map is a list of contiguous data blocks, and it contains only the information that the SIOF API needs from an MPI datatype to construct an IOD.

Because MPI specifies no functions for accessing the layout information in a datatype, the SIOF API code must explicitly read the internal data structures of the MPI implementation on which it is based (MPICH [1]). One reason for using chunk maps is to isolate the system-dependent code as much as possible, so most of the SIOF API code works with chunk maps rather than MPI datatype structures.

The SIOF API stores the chunk map of the file type for each node and each open file in the server thread's file table. When a file is read or written, the server constructs an HPSS IOD for the data to be transferred, with source and sink mappings for each contiguous chunk of data to be accessed. It passes this IOD to a single HPSS call.

Meanwhile, the mover thread parses the chunk map corresponding to the buffer type to determine which data to access in memory. The SIOF API does not compare buffer types with file types or decompose them with respect to each other; HPSS and the client mover thread can each behave as if the other is accessing a single, contiguous stream of data.

5 Managing collective operations

The SIOF API currently supports four types of data access: the independent **read** and **write** operations, and collective versions called **read-all** and **write-all**. Structuring the server to permit collective operations on reads and writes requires that several issues be addressed:

- How are collective operations implemented?
- How is the decision made to dispatch them?
- What optimizations are available for collective operations?

This section discusses these three issues.

5.1 Collective implementation

From the server's point of view, the life-cycle of a collective operation begins when the server receives the first MPI message containing a data access request from a client thread. The request is added to a list of pending data accesses for that file. This data access list is traversed after either the receipt of a client's message for a file operation, or a predetermined period of time has elapsed, whichever comes first. As the server traverses the list, it updates a dispatch priority for each pending collective operation. The dispatch priority determines when the server will initiate the data access; if the priority is over a predetermined threshold, the server spawns a thread to issue the HPSS readlist or writelist call. If a collective write request includes overlapping file accesses by different nodes, the server constructs an IOD that resolves the conflict in a well-defined way.

The data access list also records the number of outstanding clients, which is needed to handle cases where the server dispatches a request before all clients have checked in. The number of clients is initially the number of nodes that have jointly opened the same file, but if two or more dispatches are used for the same operation, it will be the number of clients remaining for the operation (*i.e.*, the number not already checked in and previously dispatched).

5.2 Determining dispatch priority

How the dispatch priority is determined will have a strong effect on performance and utilization of the I/O system. For example, one can imagine a scenario in which 15 clients of a 16-client application check in at nearly the same time, but the 16th client checks in much later. In such a scenario, it may be advantageous to forgo waiting for the last node to check in before dispatching the requests for the first 15 nodes. On the other hand, issuing a request too soon will reduce the ability of the SIOF API library to amortize latency costs involved in setting up a data access. A number of factors may play a part in determining the dispatch priority. At the present, our implementation for MPI-IO read-all and write-all operations blocks until all client nodes have checked in. However, we plan to investigate several algorithms to determine their effect

on utilization and performance. These algorithms will consider, to varying degrees, the time since a request was first issued, information on which clients have checked in, the transfer size, the granularity of the file types, and whether the access is to tape or disk.

5.3 Optimizations

The architecture of the SIOF API makes several optimizations feasible. These include:

- Asynchronous operation.
- Grouping accesses on the same storage device.
- Grouping accesses on the same processor.
- Coalescing small accesses.

The first optimization reduces a server's sensitivity to the latency of HPSS calls. By spawning a thread for each such call, the server can handle multiple requests concurrently.

Grouping accesses to the same storage device can help improve cache performance. For example, certain decompositions of matrices among processors can produce requests for small, interleaved chunks of data [6]. By constructing IODs so that requests for sequential data appear in order, the server can increase the probability of cache hits on a disk. On the other hand, sending small blocks of data between one disk and multiple nodes in round-robin order may produce excessive switching latency in the external network. In that case, it may be better to group requests so that data residing on one node is accessed sequentially. Performance tuning will help us determine how best to arrange the parts of a collective request.

Even if there is no locality to be exploited in a collective operation, grouping requests from multiple nodes into a single **readlist** or **writelist** call can amortize one-time expenses incurred in I/O operations, such as the cost of an RPC transaction between the parallel computer and the HPSS controller.

6 Current status

The SIOF API is currently undergoing integration testing, and we expect to do performance testing and tuning by the end of 1995. Our initial version of the code includes both independent and collective read and write operations. MPI-IO file types and buffer types are fully functional. The main features of MPI-IO that we have not yet implemented are nonblocking I/O calls, shared file pointers, and exception handling. We expect to implement these features over the next year. Over the longer term, we will investigate new features that will simplify access to nonuniform data layouts.

7 Conclusion

The SIOF API is a new implementation of the proposed MPI-IO standard. It is designed as a high-level user interface for the HPSS file system, and its initial implementation is on a Meiko CS-2 parallel computer. Because HPSS supports third-party transfers over an external network, our implementation can transfer data in parallel between processors and storage devices while presenting a global view of the file system that all nodes can access. Our distributed server model spreads the burden of coordinating data transfers over multiple nodes. Control of a given open file is centralized, but data transfer can proceed in parallel. We believe this combination of features will offer the high aggregate I/O bandwidth for large data transfers that many parallel scientific codes need.

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